

SEMINAR TOPIC : ISRS 4400 (Revised), AGREED – UPON PROCEDURES ENGAGEMENTS

FACILITATOR : MS JANISE LEE  
 DATE : 28<sup>TH</sup> NOVEMBER, 2023  
 DAY : TUESDAY  
 VENUE : ZOOM CHAT ROOM  
 TIME : 9.00AM – 1.15PM  
 FEE : RM100 PER PERSON

4 CPE HOURS  
 GAINED

FOR MAFA MEMBER  
 FIRMS ONLY

## INTRODUCTION

Agreed-upon procedures (AUP) engagements are widely used. The demand for AUP engagements continues to grow, particularly in relation to the need for increased accountability in relation to funding and grants. **ISRS 4400 (Revised), Agreed- Upon Procedures Engagements**, has been revised to respond to such trends and to promote consistent interpretation and performance of an AUP engagement by practitioners.

ISRS 4400 (Revised) will be effective for AUP engagements for which the terms of engagement are agreed on or after **January 1, 2022**.

## LEARNING OBJECTIVES

This webinar seeks to help you:-

- Identify Significant Changes in Requirements.
- Respond to the needs of stakeholders.
- Provide clarity in the AUP report..
- Enhance consistency in the performance of AUP Engagements.

## METHODOLOGY

PowerPoint Presentation, Quiz, Exercise and Discussion.

## WHO SHOULD ATTEND

- Approved Company Auditors.
- Audit Managers and Seniors.
- Academicians.
- Students Preparing for the Auditing and Assurance Paper.

## PROGRAMME OUTLINE

### SESSION 1

9.00am – 11.00am

- Exercising professional judgment in an AUP engagement.
- Considering acceptance and continuance conditions.
- Using a practitioner' s expert.

11.00am – 11.15am (Break)

### SESSION 2

11.15am – 1.15pm

- Disclosure of compliance with independence requirements, as applicable.
- Engagement Acceptance and continuance.
- The Contents of the AUP Report.
- Sample Reports.

## FACILITATOR'S PROFILE



**Janise Lee** started her career as a articled student and rose to become a audit partner of an international accounting firm with worldwide affiliations. She has more than twenty five years of auditing experience in public practice. She has acted as Special Administrators, Creditors Agent of Scheme Creditors, Due Diligence Auditor and has conducted Internal Audit, Strategic Operation Reviews and Valuation exercises for private companies as well as public listed companies. She has also led "Investigative audits" for Public Listed Companies.

She was a member of the SMP ( Small Medium Practice) Task Force under the purview of the Public Practice Committee of MIA and was a Panel Reviewer for the Practice Review Committee of MIA. She was also involved in the Accounting Standards Working Groups of MASB.

Janise was instrumental in setting up the SMP department of MIA in 2015 and headed the department for 2 years. She introduced a 5(five) year Road Map for the SMP Department to elevate the quality of SMPs in Malaysia and to champion the interest of SMPs. She currently sits in the SMP Committee of MIA.

She is a member of MICPA and MIA and is a frequent speaker on Auditing Standards for CPE programmes of MIA and other Professional Institutes. She also conducts staff training for audit firms. She is a frequently sought after speaker in seminars and forums on audit related matters. She is a PSMB certified trainer.

# REGISTRATION FORM



**Seminar Topic** : ISRS 4400 (Revised), Agreed - Upon Procedures Engagements  
**Facilitator** : Ms Janise Lee  
**Date/Day/Time** : 28<sup>th</sup> November, 2023 / Tuesday / 9.00am to 1.15pm  
**Registration Fee** : RM100 per person

## PARTICIPANT'S CONTACT DETAILS (PLEASE INCLUDE LIST FOR GROUP REGISTRATION)

Participant full name as per I/C (Dato' /Datin /Dr /Mr /Mrs /Ms):

\_\_\_\_\_ Designation: \_\_\_\_\_

I/C: \_\_\_\_\_ Email: \_\_\_\_\_ Mobile No: \_\_\_\_\_

## ORGANISATION'S DETAILS

Organization:

\_\_\_\_\_

Industry: \_\_\_\_\_ Contact Person/Designation: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Email: \_\_\_\_\_ Tel: \_\_\_\_\_

## PAYMENT DETAILS

Online payment to MAFA **Maybank Account No. 5142 71630 773 " PERSATUAN FIRMA-FIRMA AKAUNTAN MALAYSIA "** (please email bank-in-slip to [admin@mafa.org.my](mailto:admin@mafa.org.my)) or by cheque:

Bank & Cheque No.: \_\_\_\_\_ Amount RM: \_\_\_\_\_

**FOR REGISTRATION: PLEASE FILL UP THE REGISTRATION FORM AND EMAIL TO [admin@mafa.org.my](mailto:admin@mafa.org.my)**

Contact: Ms Rose Ramli

Tel : 017-203 4925

Address: Suite 1602, 16<sup>th</sup> Floor, Wisma Lim Foo Yong, 86 Jalan Raja Chulan, 50200 Kuala Lumpur.

[www.mafa.org.my](http://www.mafa.org.my)

## TERMS & CONDITIONS

### PROGRAMME FEES

- Fee is payable to **PERSATUAN FIRMA-FIRMA AKAUNTAN MALAYSIA**.
- Fee include programme materials.
- Full payment upon completion of registration. Otherwise, registration will be deemed unsuccessful.
- Admittance may be denied upon failure to make full payment as per the above requirement

## CANCELLATION

NO REFUNDS WILL BE MADE BUT REGISTERED PARTICIPANTS MAY NOMINATE ANOTHER STAFF OF A MEMBER FIRM IN THEIR PLACE.

## DATA PROTECTION :

Personal Data is gathered in accordance with the Personal Data Protection Act 2010 (Act 709).

## DISCLAIMER :

The Organiser reserves the right to change the facilitator, date(s) and to cancel the programme should circumstances beyond its control arise. The Organiser shall not be responsible for any costs, damages or losses incurred by the participant due to the changes and/or cancellation. All fees paid shall be refunded in full if you are not agreeable to any such changes.

## REPLACEMENTS :

Please note replacements are acceptable and CPE points will be allocated to the designated attendee.

## RECORDING :

Video / Sound recording is strictly prohibited.

## CERTIFICATE OF ATTENDANCE AND CPE HOURS :

Participants will only be entitled to the CPE credit hours upon attending the entire duration of the programme. CPE credit hours will not be accorded for partial attendance.

Upon full attendance of the programme, participants will be issued e-Certificate of Attendance after completion of the event.